

# The «Ocular Response Function»

for encoding and decoding oculomotor related neural activity

Gehmacher et al., 2024

# **What are Temporal Response Functions?**

## **The Ocular Response Function**

**Deconvolution Algorithm**

**Encoding and Decoding Models**

**Localization**

**Extracting Encoding and Decoding Results**

## **Validation Study: Passive Listening Task**

**Methods**

**Results**

**Conclusion**

# What are Temporal Response Functions?

TRFs model the relationship between a **continuous input** and a **continuous output** (e.g., brain response) over time

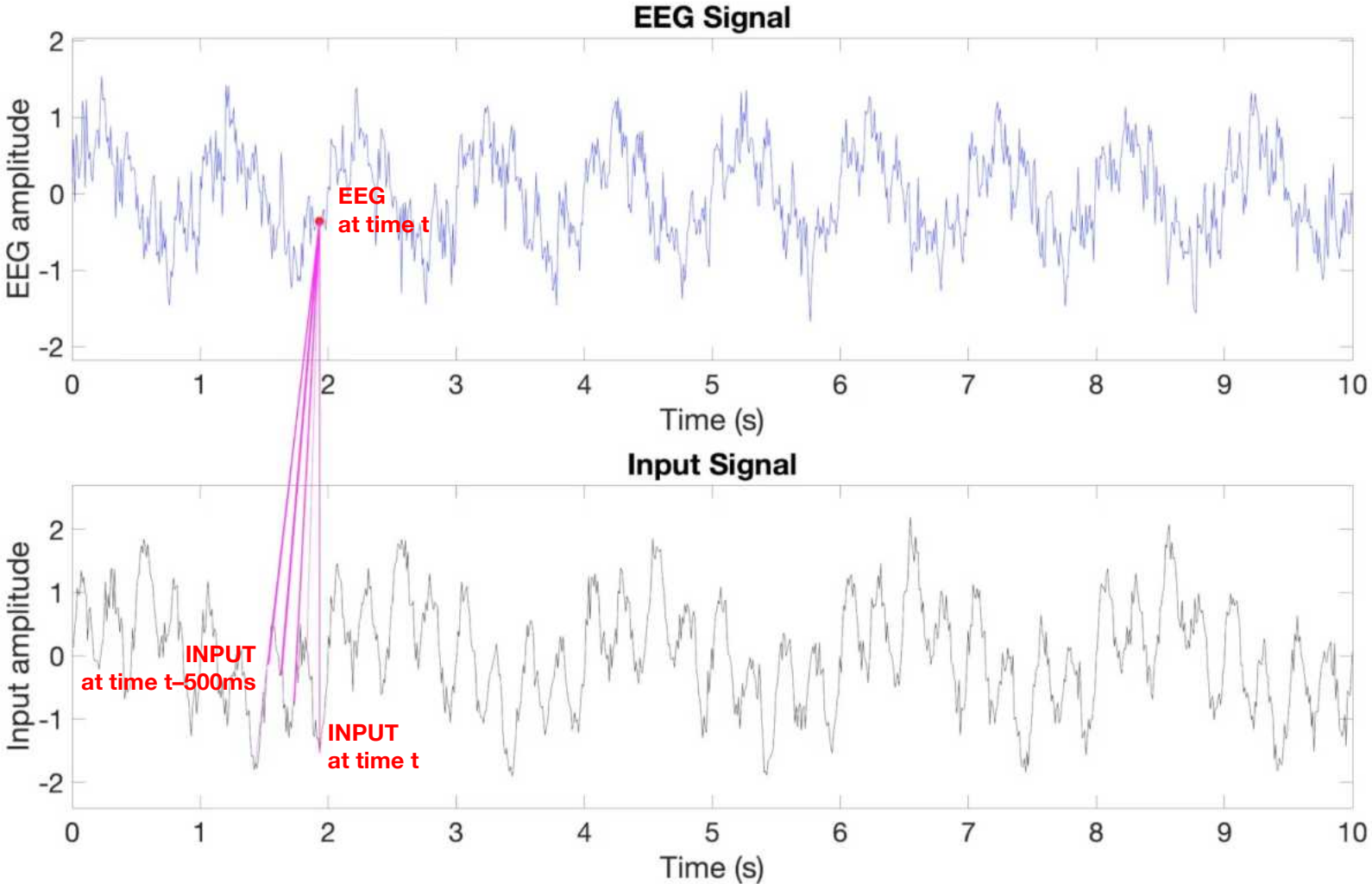
- TRFs map stimulus to M/EEG response in a **continuous-time** framework
  - Estimate the brain's response by finding a **best-fit model** between the input and EEG data
  - Reveal response timing = **when** specific neural responses occur
    - TRFs show how each moment of input contributes to the output at subsequent **time lags**

Mathematical Analogy

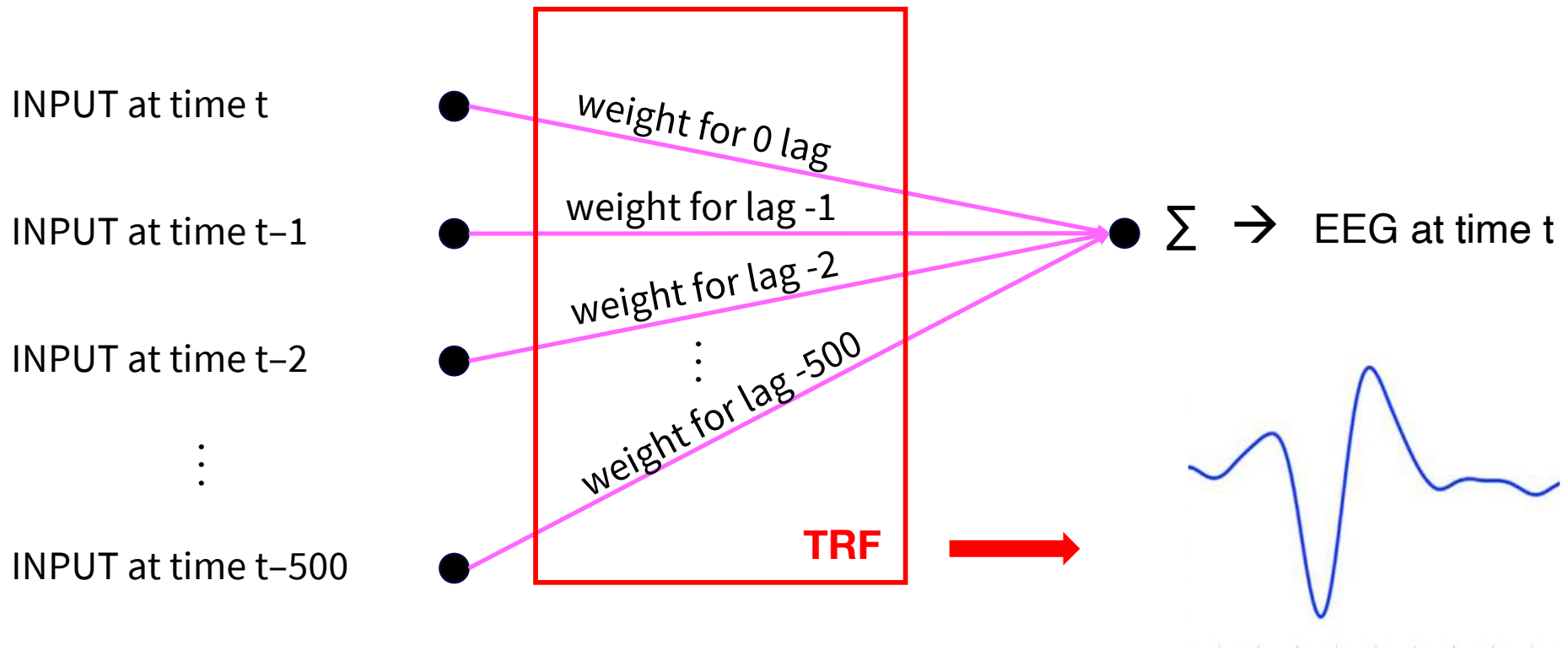
- **TRFs** act as a linear filter; predict EEG signal by **convolution** with **continuous input**
  - Output (e.g., EEG signal) is a weighted sum of values of the input

→ Advantage of TRFs in **naturalistic settings**, where discrete event segmentation is challenging (complex or natural stimuli; e.g., **continuous signals** such as speech or vision)

# What are Temporal Response Functions?



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$$\text{EEG} = \text{TRF} \times \text{INPUT}$$

# What are Temporal Response Functions?

How do I get the weights? Using of **boosting** to estimate the filter kernel (=TRF)

Boosting is an iterative approach that starts with a zero filter kernel and gradually refines it:

- Predict  $Y$  from the current estimate of  $h$
- Compute the residual (the difference between the predicted and actual  $Y$ )
- Adjust the TRF weights in the direction that best reduces the current residual
- Repeat until convergence or until a stopping criterion is met

→ Easy implementation in Eelbrain: Simply specify the time-lag window and the input/output data; the boosting routine returns the TRF weights that best map your input to the neural signal over time

# Cross Validation

Training the  $\lambda$  (weights) value  $\rightarrow$  Leave-one-out or k-fold Cross Validation



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# The Ocular Response Function

- Ocular Response Function (ORF) = TRFs to link eye movements with neural activity
  - «Establishing an eye–response relationship for time-continuous input»
  - Using a deconvolution algorithm
  - Paradigm: Simultaneous MEG and ET during resting state (eyes open, fixation cross)



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# Deconvolution Algorithm for ORF

TRFs typically use a **deconvolution** approach (here: **boosting**) to estimate how an input drives neural responses across time

- For ORFs, the input is ocular activity (eye-tracking signals), and the output is MEG data

## Implementation

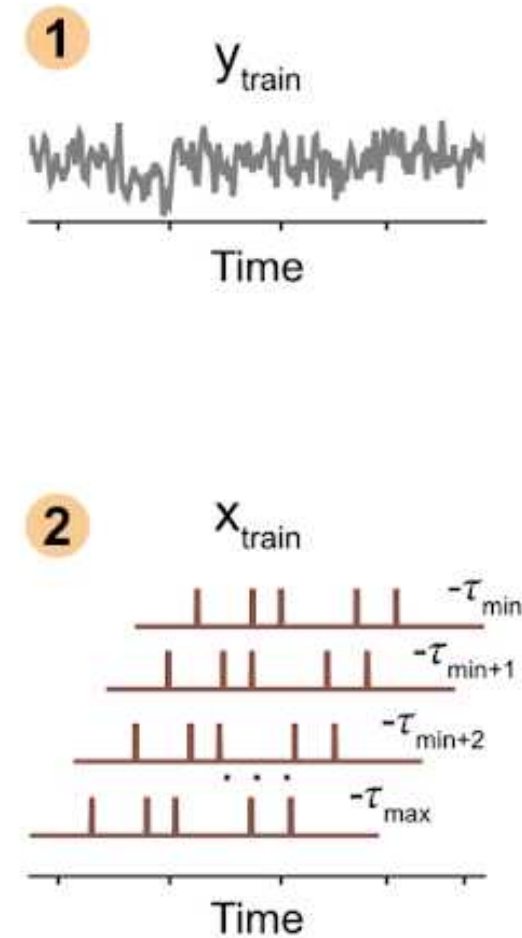
- **Boosting**: An iterative method that fits a filter kernel (the TRF) by gradually **minimising the prediction error**
- **Regularisation**: Controls **overfitting**, ensuring the TRF captures genuine temporal relationships rather than noise
- **Time Lags**: The algorithm examines a **window of time lags** (here: -1s to + 1s) around each ocular event

## Advantages of Deconvolution

- Separates overlapping events (e.g., multiple saccades in short succession)

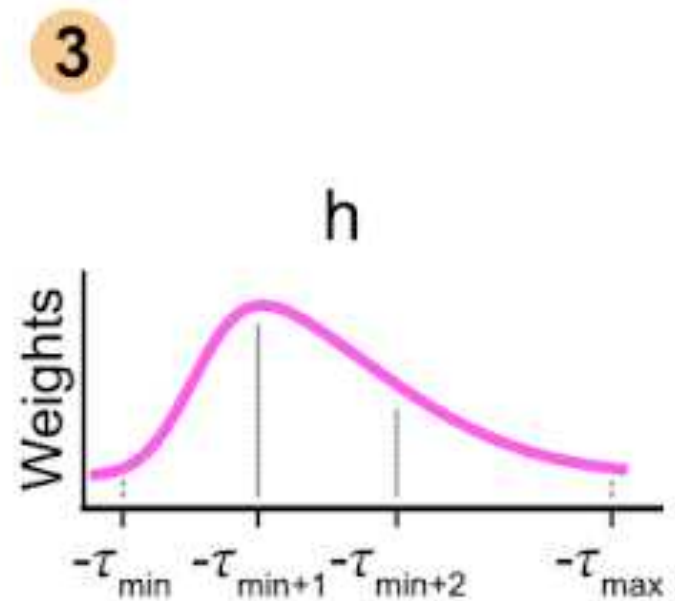
## Deconvolution Algorithm for ORF

- Eye-(x)-response-(y)-relationships are estimated using deconvolution (Boosting), where **neural activity** (1;  $\mathbf{y}_{\text{train}}$ ) is linked to an **ocular action** (2;  $\mathbf{x}_{\text{train}}$ , e.g. saccades) with time-shifted versions to capture the brain's delayed response.
- The TRF (filter kernel,  $h$ ) represents how the brain processes the stimulus over time.
- This filter is then applied (using convolution) to new stimulus data ( $x$  test) to predict the neural response ( $y$  pred).
- Finally, the predicted response is compared to the actual neural activity ( $y$  test) to assess the model fit and evaluate how accurately the TRF captures the brain's response dynamics.



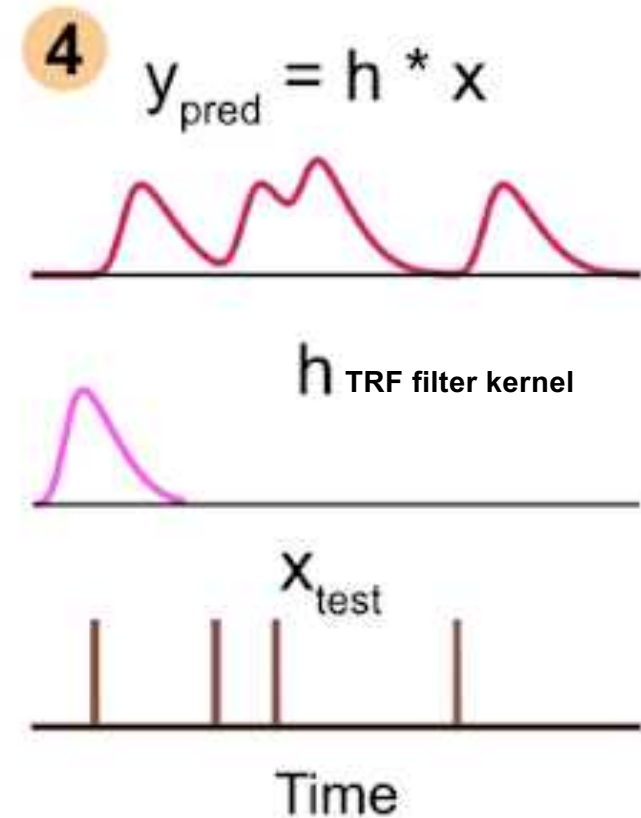
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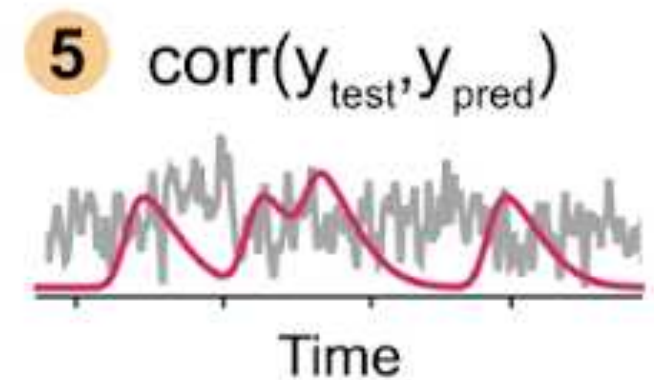
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Deconvolution Algorithm

**Encoding and Decoding Models**

Localization

Extracting Encoding and Decoding Results

## Validation Study: Passive Listening Task

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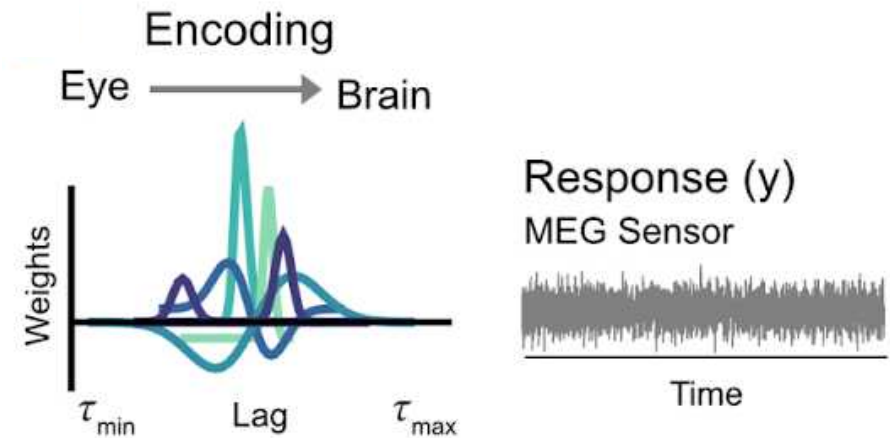
# Forward Encoding Model

Forward model: ORFs leverage the deconvolution algorithm

approach by **predicting resting state brain activity from eye**

**features** (gaze, pupil dilation, blinks, saccades)

- One ORF is generated per eye feature per sensor
- Links the gaze metrics to the MEG data
- ORFs specify **how each ocular feature drives the neural signal over time**



## Stimulus (x)

Horizontal Gaze



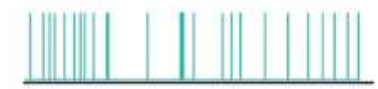
Vertical Gaze



Pupil Dilation



Blinks



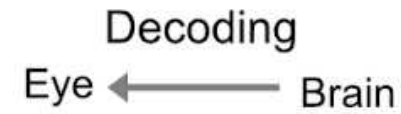
Saccades



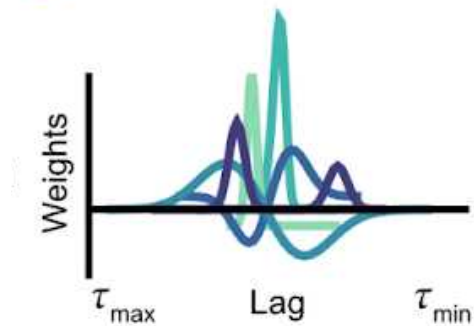
# Backward Decoding Model

Backward model: **Predicts eye movements from brain data**

- **Flipping** the ORF along the temporal axis and convolve with brain signal to predict eye data



2 Flip TRF across time



Stimulus (x)

Horizontal Gaze



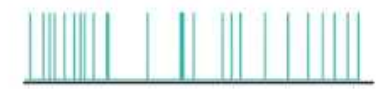
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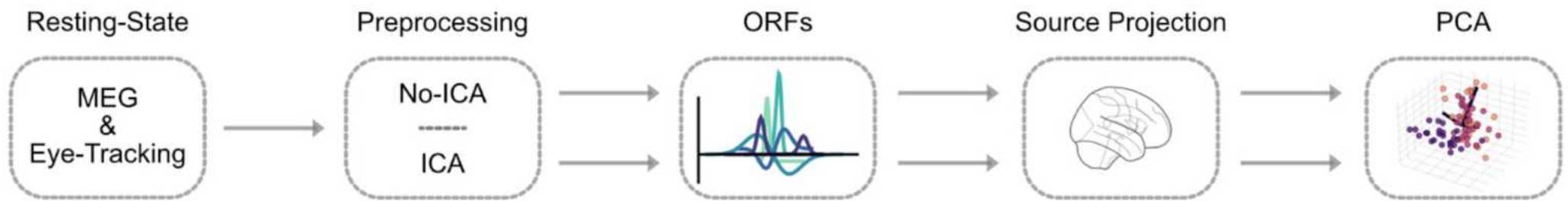
# Localization

## Source Projection

- ORFs initially derived at **sensor level** (MEG channels)
- Projected into **source space** to map ocular–neural relationships onto brain anatomy (cortical/subcortical regions)

## Principal Component Analysis (PCA)

- Applied to **reduce dimensionality** of source-projected ORFs
- Focuses on the main spatial-temporal components that **explain the most variance**
- Helps identify **distinct networks or regions** that respond to eye movements



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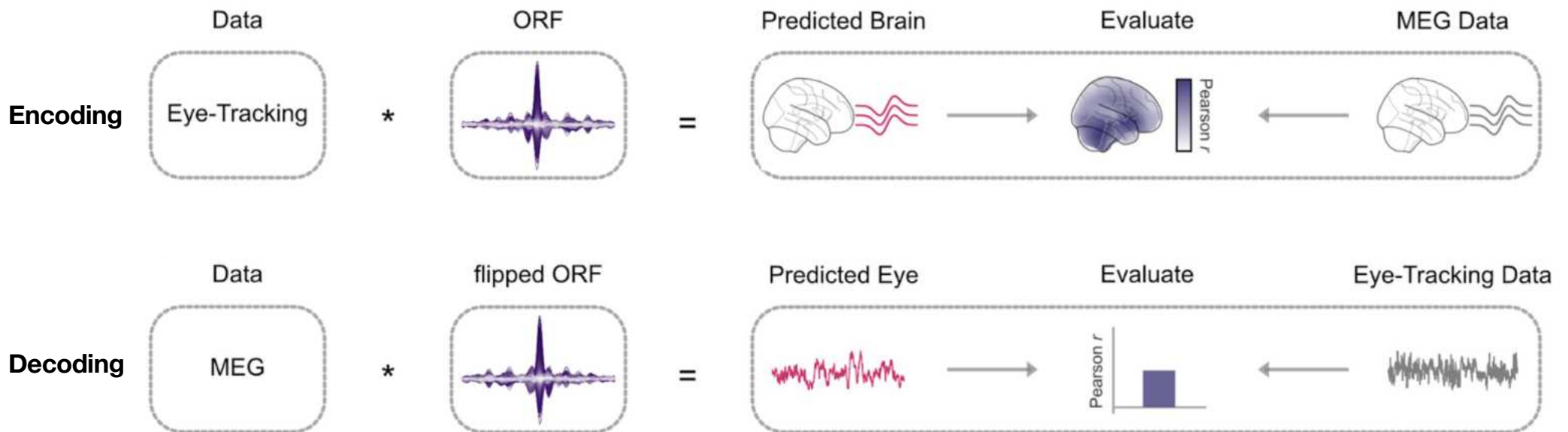
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# Extracting Encoding and Decoding Results

## Convolution for Prediction

- Encoding: Convolve **eye signals** with **ORFs** → get predicted brain activity → correlate with actual brain signals
- Decoding: Convolve **brain signals** with **time-reversed ORFs** → get predicted eye activity → compare with actual eye signals

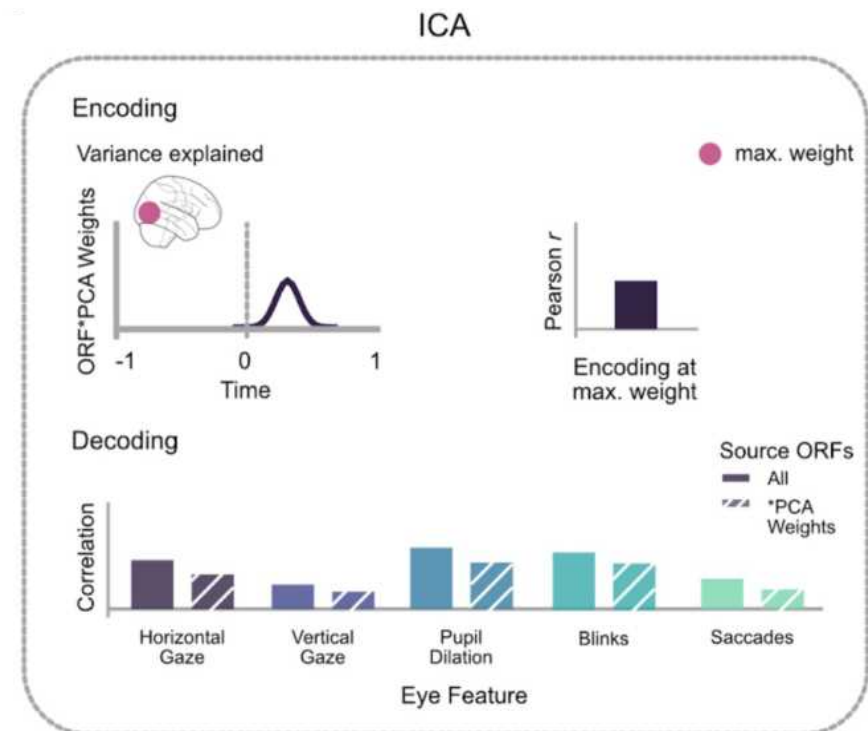
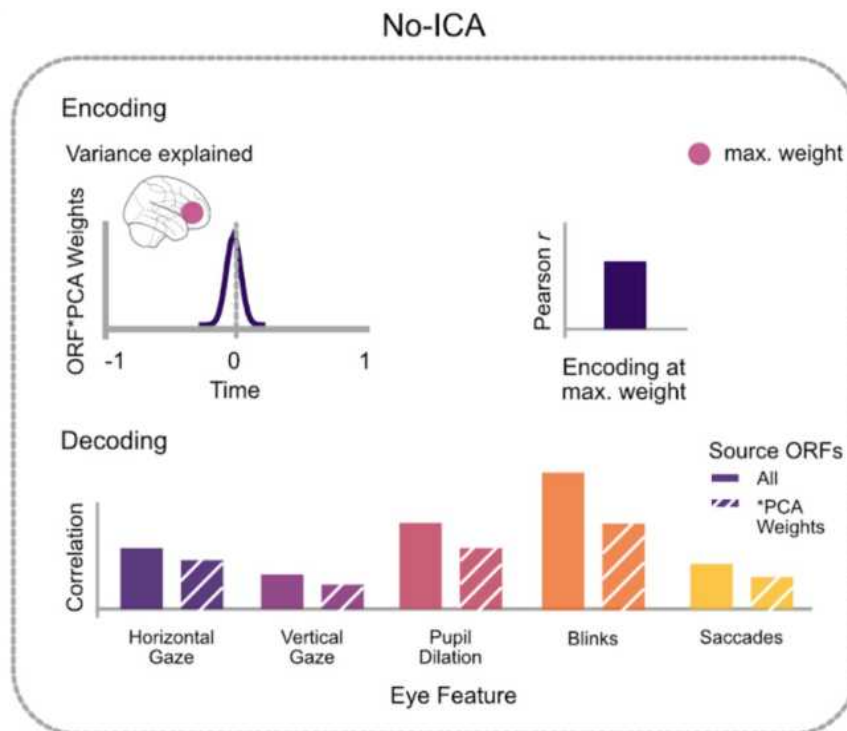
**Evaluation** with Pearson's  $r$  or Matthew's Correlation Coefficient (MCC) for model fit with actual data



# Extracting Encoding and Decoding Results

ORFs were weighted using PCA matrices to assess brain region contributions

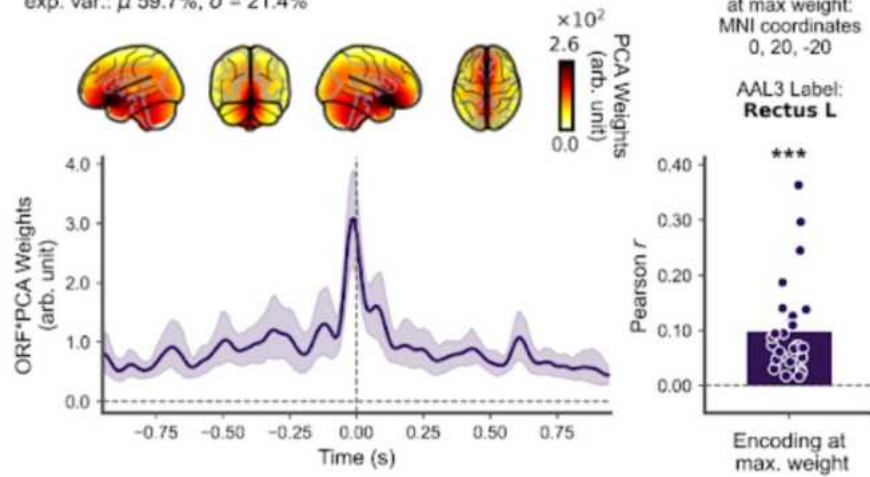
- No-ICA: First PC with strongest loading over fronto-central regions, near the eyeballs
- ICA: First PC(s) over cerebellar and subcortical structures



# Encoding and PCA Results (PC1): Horizontal Gaze

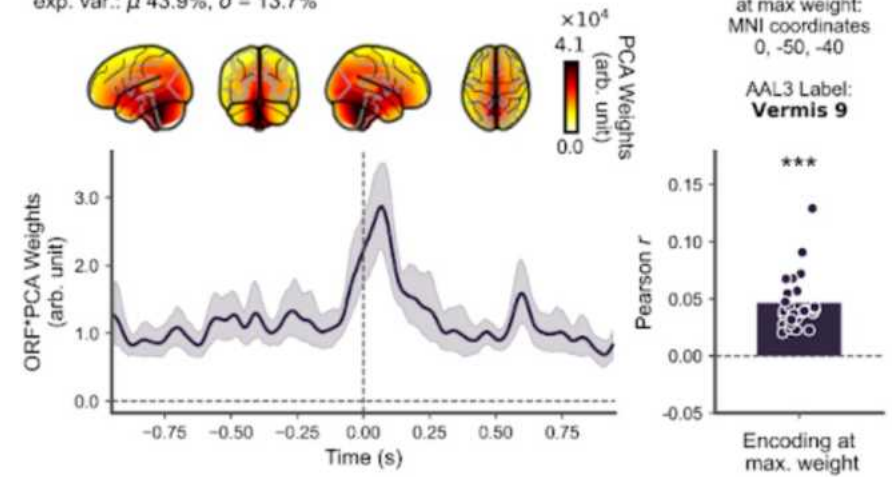
**a) Horizontal Gaze (no-ICA)**

exp. var.:  $\mu$  59.7%,  $\sigma$  = 21.4%



**f) Horizontal Gaze (ICA)**

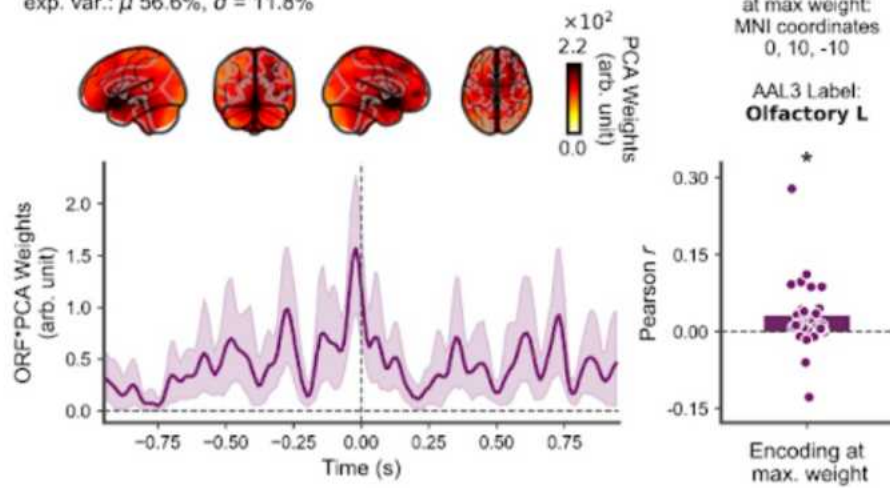
exp. var.:  $\mu$  43.9%,  $\sigma$  = 13.7%



# Encoding and PCA Results (PC1): Vertical Gaze

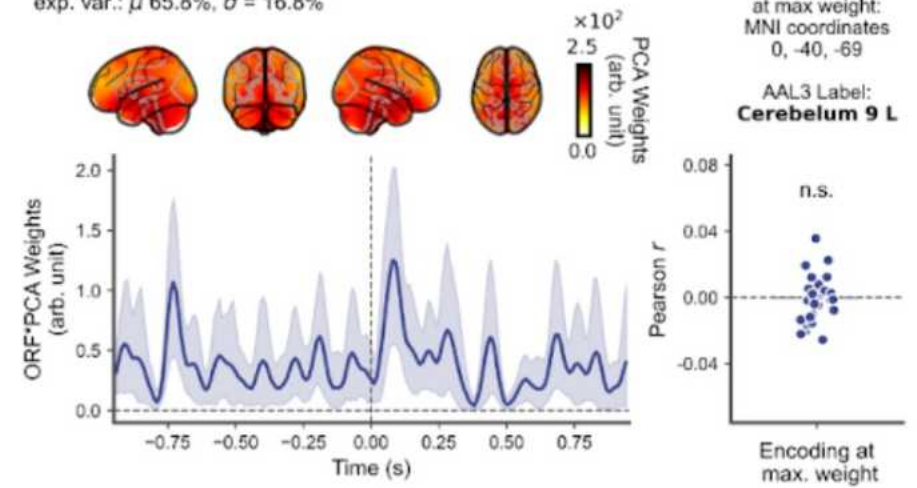
## b) Vertical Gaze (no-ICA)

exp. var.:  $\mu$  56.6%,  $\sigma$  = 11.8%



## g) Vertical Gaze (ICA)

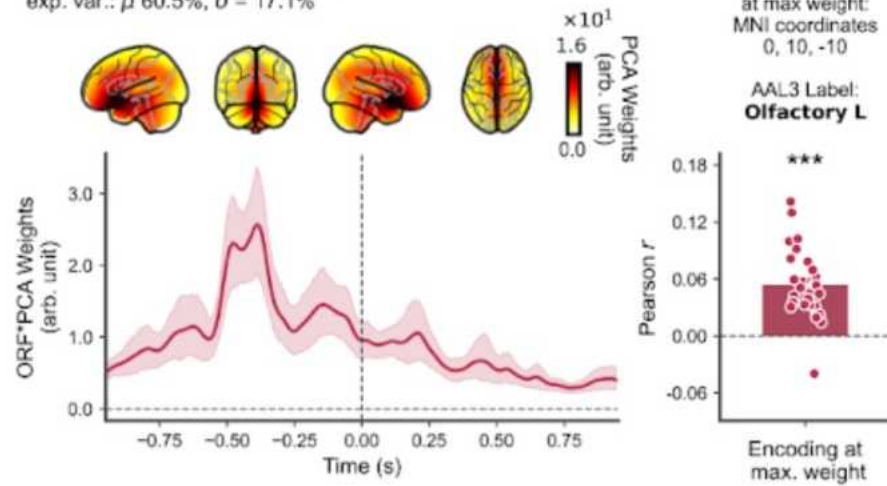
exp. var.:  $\mu$  65.8%,  $\sigma$  = 16.8%



# Encoding and PCA Results (PC1): Pupil Dilation

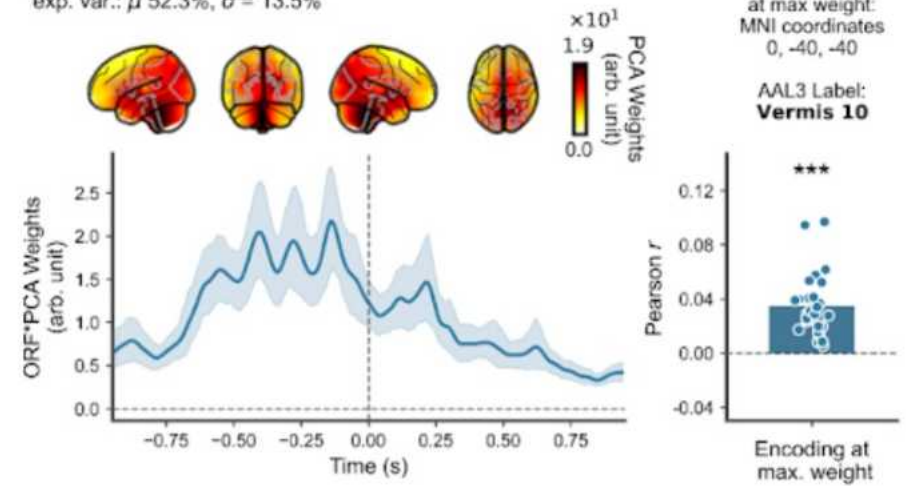
## c) Pupil Dilation (no-ICA)

exp. var.:  $\mu$  60.5%,  $\sigma$  = 17.1%



## h) Pupil Dilation (ICA)

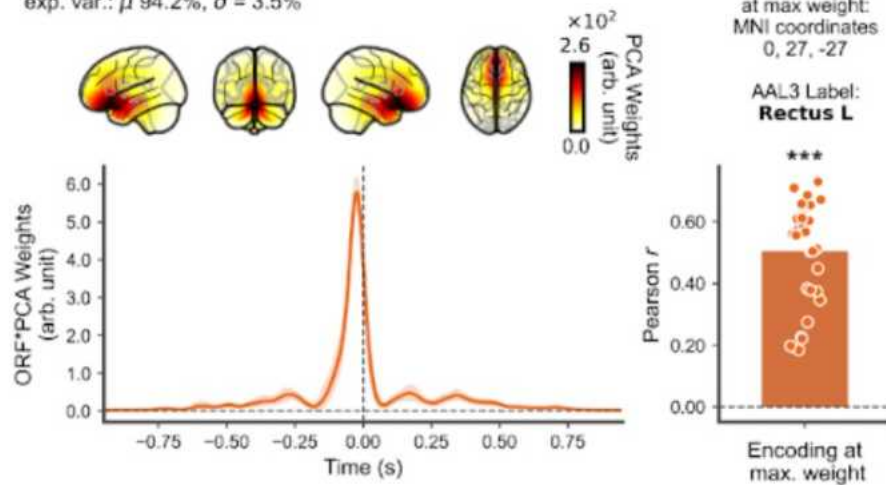
exp. var.:  $\mu$  52.3%,  $\sigma$  = 13.5%



# Encoding and PCA Results (PC1): Blinks

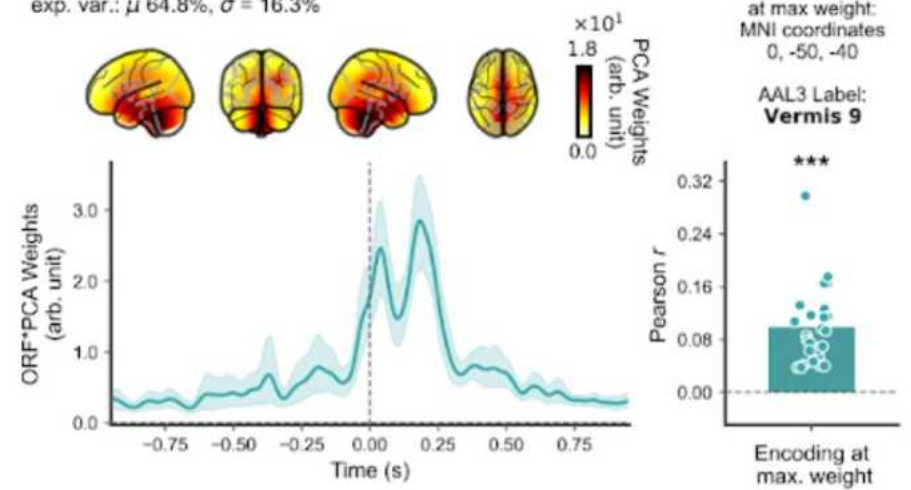
## d) Blinks (no-ICA)

exp. var.:  $\mu$  94.2%,  $\sigma$  = 3.5%



## i) Blinks (ICA)

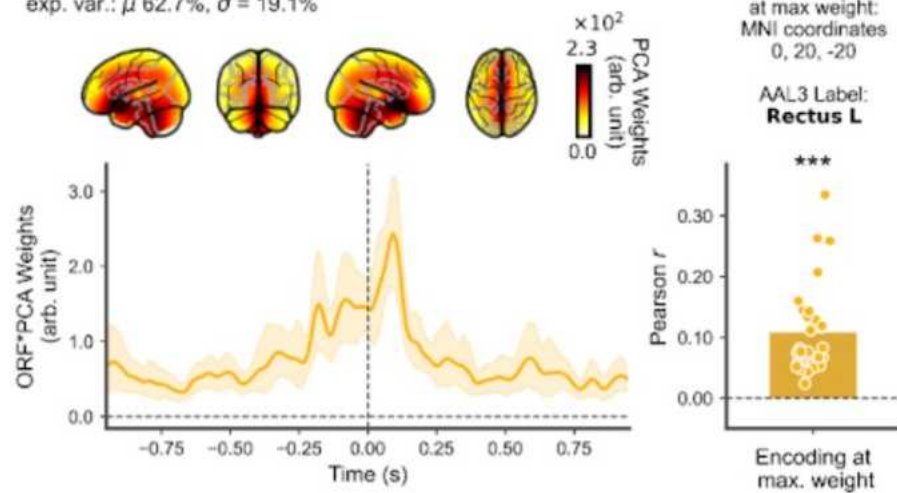
exp. var.:  $\mu$  64.8%,  $\sigma$  = 16.3%



# Encoding and PCA Results (PC1): Saccades

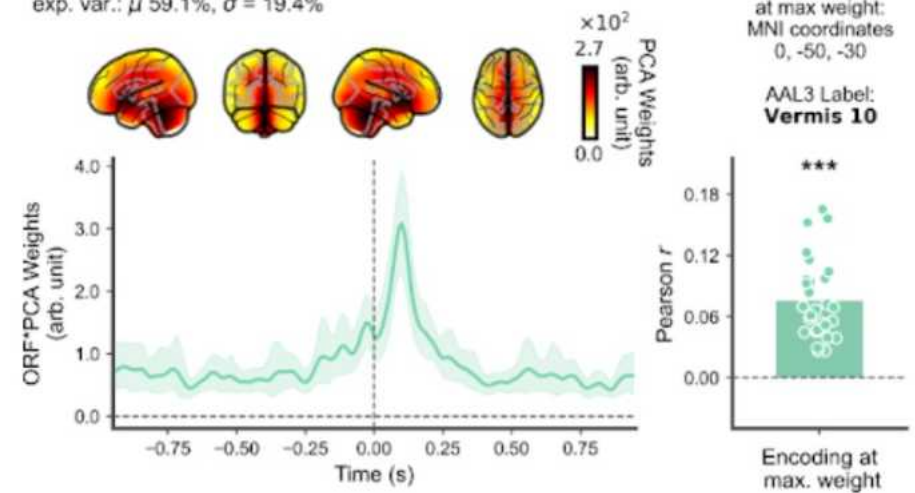
## e) Saccades (no-ICA)

exp. var.:  $\mu$  62.7%,  $\sigma$  = 19.1%



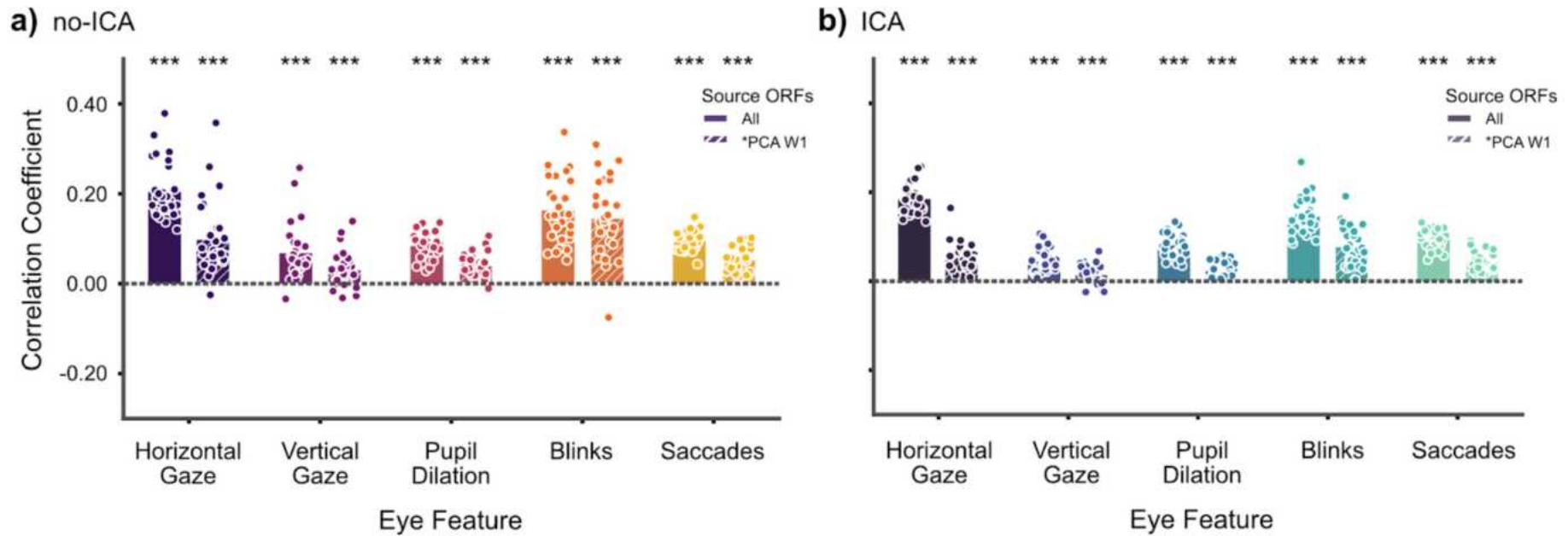
## j) Saccades (ICA)

exp. var.:  $\mu$  59.1%,  $\sigma$  = 19.4%



# Decoding Results

«Overall, ocular behaviour was reliably decoded across all eye features and preprocessing strategies.»



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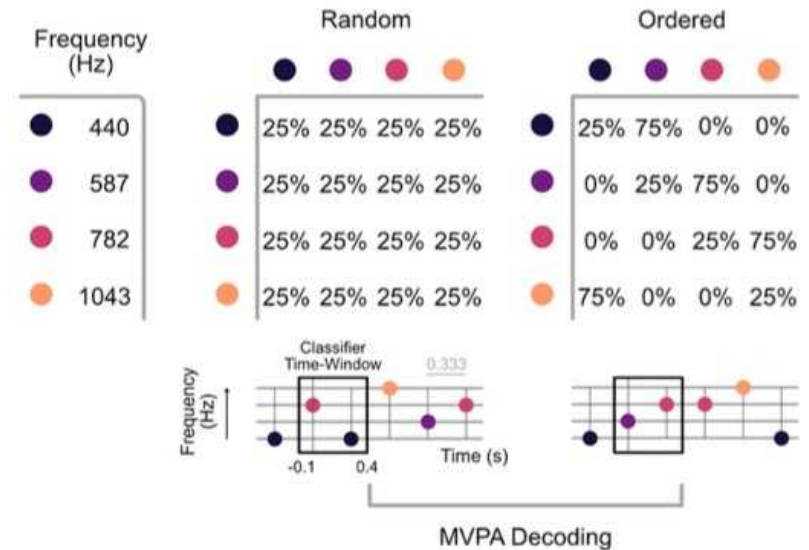
**Passive listening task:** Sequences of tones (3 Hz presentation rate) + **fixation cross** (Schubert et al. 2023)

- Regularity Manipulation: ‘Ordered’ vs. ‘Random’ tone transitions
- Ordered Condition: More frequent ascending steps, fewer repetitions
- Random Condition: All transitions equally likely

Simultaneous MEG and eye-tracking recording

- Analysis Window: -100 ms to +400 ms around each tone
- Preprocessing with and without ICA
- Multi-Variate Pattern Analysis (MVPA) to decode neural responses to ordered vs. random tone sequences

a) Passive Listening Paradigm



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# Task-related Oculomotor vs. Neural Activity

MVPA: Significant decoding cluster 100–190 ms post-tone onset

→ sensitivity to regularity in auditory cortices (CBPT)

## Saccade-Related Neural Activity

ORFs for saccades during resting vs. task → saccade-driven predicted activity also distinguished ordered vs. random → subtle but consistent differences in saccadic behaviour (or saccade-linked neural dynamics) across conditions

## Spatial Overlaps and Differences

MEG Classifier Weights: Stronger in auditory areas (especially right hemisphere)

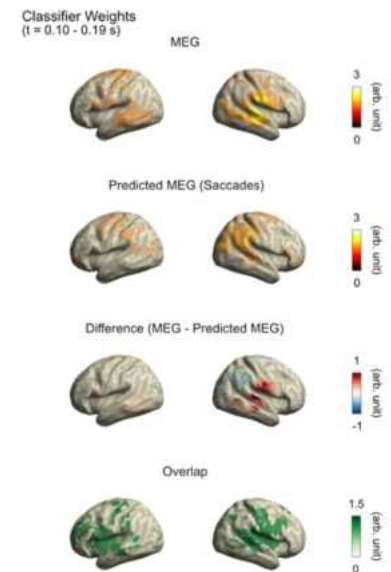
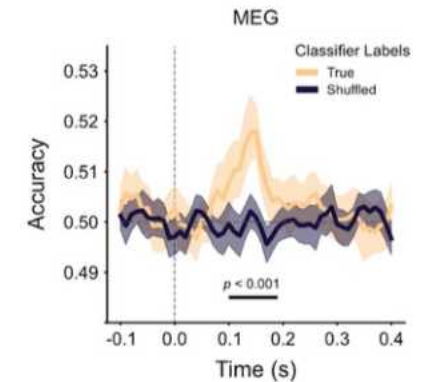
Predicted MEG from Saccades: Parietal and midbrain, but overlapped partly with temporal structures

→ Indicates saccades may modulate or coincide with auditory processing in these regions

## Decoding Ocular Features / Generalizability of ORFs beyond Resting State

Even in auditory task: ocular features (horizontal gaze, blinks, etc.) could still be decoded from brain data using ORFs

### b) Regularity Decoding



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## Conclusion (Paper / ORF)

Proof-of-principle of TRFs for oculomotor-neural link (ORFs)

- ORFs allow **prediction of neural activity from oculomotor action** and conversely

Validation Study

- ORFs derived from resting-state can be applied to **task conditions**
- Confirms that **oculomotor-neural relationships persist**
  - even when participants are engaged in auditory processing
  - even though eye movements are not typically expected to influence cognitive processing

## Conclusion (Arne's PhD)

Use ORFs to find **oculomotor-neural link within alpha-band**

Not only relation of oculomotor activity and alpha power as averaged metrics over trials

→ Inclusion of **temporal lag**

showing **preparatory neural activity for eye movements** (decoding)

→ Use ORFs for analysis time window of alpha power

ORFs could be used very well!

But only for resting state data?

No! → Validated in auditory task

But only continuous data?

Or does this work with non-continuous tasks as well? We'll see!

→ Maybe ask them for their data and run alpha-band-filtered analysis

